



DAT
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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/713,667
		Filing Date	11/14/2003
		First Named Inventor	Kazunori Yoshino
		Art Unit	3745
		Examiner Name	Thomas E Lazo
Total Number of Pages in This Submission		Attorney Docket Number	03-220

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input checked="" type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation | <input checked="" type="checkbox"/> Other Enclosures(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Change of Correspondence Address | Petition to Correct Assignee under 37 CFR 3.81(b) and Request for Certificate of Correction |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael S. King, Registration No. 51,885
Signature	
Date	December 15, 2005

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date:

12/15/2005

Typed or printed name	Michael S. King
Signature	
	Date 12.15.05

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

United States Letters

Patent No.: 6,945,039

Granted: September 20, 2005

Inventor: KAZUNORI YOSHINO

Title: POWER SYSTEM AND WORK
MACHINE USING SAME

Attorney Docket No.: 03-220

) Group Art Unit: 3745

) Examiner: Thomas E Lazo

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Peoria, Illinois 61629-6490
December 13, 2005

Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

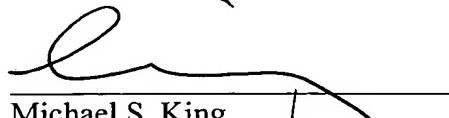
PETITION REQUEST TO CORRECT ASSIGNEE UNDER 37 CFR 3.81(b)

Sir:

On July 21, 2005, Applicant submitted form PTOL-85B with payment of the Issue Fee. Applicant inadvertently failed to include the correct assignee name on form PTOL-85B, item 3. By this PETITION REQUEST, Applicant is requesting to correct the Assignee to reflect Caterpillar Inc. and Shin Caterpillar Mitsubishi Ltd. A copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment is recorded and the date the Assignment was submitted for recordation. If the Request is granted, please forward the enclosed REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323 to the Certificates of Correction Branch.

Two copies of a Fee Transmittal are enclosed for payment of the processing fee as set forth in 37 CFR 1.117(h). Please charge deposit account 03-1129 in the amount of \$130.00.

Respectfully submitted,



Michael S. King
Registration No. 51,885
Caterpillar Inc.

Telephone: (309) 675-4363

MSK:vlm

Enc.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

130

Complete if Known

Application Number	10/713,667
Filing Date	11/14/2003
First Named Inventor	Kazunori Yoshino
Examiner Name	Thomas E Lazo
Art Unit	3745
Attorney Docket No.	03-220

METHOD OF PAYMENT (check all that apply)
 Check Credit Card Money Order Other None
 Deposit Account

Deposit

Number

Deposit

Account

Name

03-1129

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Fee	Fee	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fee Code	(\$)	Fee Code	(\$)				
1001	790	2001	385	Utility filing fee			
1002	350	2002	170	Design filing fee			
1003	530	2003	265	Plant filing fee			
1004	790	2004	385	Reissue filing fee			
1005	160	2005	80	Provisional filing fee			
SUBTOTAL (1)				(\$)	0		

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

20	Extra Claims	Fee from below	Fee Paid	
Total Claims	-20** =	X 50	= 0	
Independent Claims	-3** =	X 200	= 0	
Multiple Dependent	3			
Large Entity	Fee	Fee	Fee Description	
Fee Code	(\$)	Fee Code	(\$)	
1202	18	2002	9	Claims in excess of 20
1201	88	2001	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Fee	Fee	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fee Code	(\$)	Fee Code	(\$)				
1051	130	2051	65	Surcharge - late filing fee or oath			
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
1053	130	1053	130	Non-English specification			
1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
1251	110	2251	55	Extension for reply within first month			
1252	430	2252	210	Extension for reply within second month			
1253	980	2253	475	Extension for reply within third month			
1254	1,530	2254	740	Extension for reply within fourth month			
1255	2,080	2255	1,005	Extension for reply within fifth month			
1401	340	2401	165	Notice of Appeal			
1402	340	2402	165	Filing a brief in support of an appeal			
1403	300	2403	145	Request for oral hearing			
1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1452	110	2452	55	Petition to revive - unavoidable			
1453	1,370	2453	665	Petition to revive - unintentional			
1501	1,370	2501	665	Utility issue fee (or reissue)			
1502	490	2502	240	Design issue fee			
1503	660	2503	320	Plant issue fee			
1460	130	1460	130	Petitions to the Commissioner			130
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt			
8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1809	790	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1810	790	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
1801	790	2801	385	Request for Continued Examination (RCE)			
1802	900	1802	900	Request for expedited examination of a design application			
Other fee (specify) _____							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3)							
(\$)							
130							

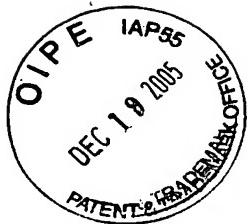
SUBMITTED BY (Complete if applicable)

Name (Print/Type)	Michael S. King	Registration No. (Attorney/Agent)	51,885	Telephone	(309) 675-4363
Signature				Date	12/15/2005

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Letters) Group Art Unit: 3745
Patent No.: 6,945,039) Examiner: Thomas E Lazo
Granted: September 20, 2005)
Inventor: KAZUNORI YOSHINO)
Title: POWER SYSTEM AND WORK)
MACHINE USING SAME)
Attorney Docket No.: 03-220)

Peoria, Illinois 61629-6490
December 13, 2005

Attention Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

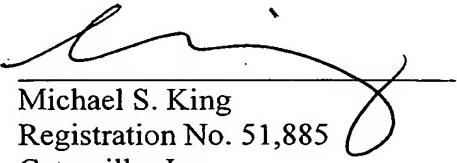
REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323

Sir:

This is to request the issuance of a Certificate of Correction under 35 U.S.C. 255. Upon reviewing the above-identified patent, the Agent of Record noted an error that should be corrected. This error occurred when the Applicant inadvertently stated incorrect Assignee information on PTOL-85B. Please charge the required fee to Deposit Account 03-1129.

Transmitted herewith are two copies of PTO-1050 containing the text of the desired corrections.

Respectfully submitted,


Michael S. King
Registration No. 51,885
Caterpillar Inc.

Telephone: (309) 675-4363
MSK:vlm
Enc.

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO.: 6,945,039

DATED : September 20, 2005

INVENTOR(S) : KAZUNORI YOSHINO

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page of the granted patent, section (73), Assignee should be changed to reflect the following:

Caterpillar Inc., Peoria, IL (US)
Shin Caterpillar Mitsubishi Ltd., Akashi, Japan (JP)

MAILING ADDRESS OF SENDER:

Caterpillar Inc.
100 NE Adams St.
Peoria, IL 61629-6490

PATENT NO. 6,945,039

No. of additional copies



This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DHC | KAU



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 08, 2004

PTAS



102606167A

MICHAEL B. MCNEIL *DJB*
511 S. MADISON STREET
P.O. BOX 2417
BLOOMINGTON, IN 47402

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/14/2003

REEL/FRAME: 014707/0054

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

YOSHINO, KAZUNORI

DOC DATE: 10/30/2003

ASSIGNEE:

CATERPILLAR INC.
100 NE ADAMS STREET
PATENT DEPARTMENT
PEORIA, ILLINOIS 61629

ASSIGNEE:

SHIN CATERPILLAR MITSUBISHI LTD.
200 MAIN AKASHI
JAPAN 55555

SERIAL NUMBER: 10713667

FILING DATE: 11/14/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: POWER SYSTEM AND WORK MACHINE USING SAME

.014707/0054 PAGE 2

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS